## PATENT APPLICATION

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of

Docket No: Q78440

Takamitsu HIGUCHI, et al.

Appln. No.: Unknown

Confirmation No.: Unknown

Group Art Unit: Unknown

Filed: November 12, 2003

Examiner: Unknown

For: PIEZOELECTRIC DEVICE, LIQUID DISCHARGE HEAD, FERROELECTRIC DEVICE AND ELECTRIC DEVICE, AND METHOD

FOR MAKING THE SAME

## <u>INFORMATION DISCLOSURE STATEMENT</u> <u>UNDER 37 C.F.R. §§ 1.97 and 1.98</u>

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

In accordance with the duty of disclosure under 37 C.F.R. § 1.56, Applicant hereby notifies the U.S. Patent and Trademark Office of the documents which are listed on the attached PTO/SB/08 A & B (modified) form and/or listed herein and which the Examiner may deem material to patentability of the claims of the above-identified application.

One copy of each of the listed documents, other than any U.S. patents and patent publications, is submitted herewith.

The present Information Disclosure Statement is being filed: (1) No later than three months from the application's filing date; (2) Before the mailing date of the first Office Action on the merits (whichever is later); or (3) Before the mailing date of the first Office Action after filing a request for continued examination (RCE) under §1.114, and therefore, no Statement under 37 C.F.R. § 1.97(e) or fee under 37 C.F.R. § 1.17(p) is required.

In compliance with the concise explanation requirement under 37 C.F.R. § 1.98(a)(3)

for foreign language documents, Applicant submits the following explanations:

INFORMATION DISCLOSURE STATEMENT

Attorney Docket No.: Q78440

English language abstracts, submitted herewith, constitute concise statements of

relevance for Japanese Patent Publication Nos. 2614948, 2670391, and 2721595.

A Complete English translation of Japanese Patent Application No. 06-145977

are being submitted herewith, and therefore no concise explanation for such foreign

language documents is required.

The submission of the listed documents is not intended as an admission that any such

document constitutes prior art against the claims of the present application. Applicant does

not waive any right to take any action that would be appropriate to antedate or otherwise

remove any listed document as a competent reference against the claims of the present

application.

The USPTO is directed and authorized to charge all required fees, except for the Issue

Fee and the Publication Fee, to Deposit Account No. 19-4880. Please also credit any

overpayments to said Deposit Account. A duplicate copy of this paper is attached.

Respectfully submitted,

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Date: November 12, 2003

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Substitute for Form 1449 A & B/PTO		
Application Number Unknown	own	
INFORMATION DISCLOSURE Confirmation Number Unknown		
STATEMENT BY APPLICANT Filing Date November 12, 2003		
First Named Inventor Takamitsu HIGUCHI		
(use as many sheets as necessary)  Art Unit  Unknown		
Examiner Name Unknown		
Sheet 1 of 1 Attorney Docket Number Q78440		

U.S. PATENT DOCUMENTS							
Examiner Initials*	Cite No.1	Document Number					
		Number	Kind Code <sup>2</sup> (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document		
		US					
		US					
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FOREIGN PATENT DOCUMENTS								
Examiner Initials*	Cite No.¹	Foreign Patent Document			Publication Date	Name of Patentee or		
		Country Code <sup>3</sup>	Number <sup>4</sup>	Kind Code <sup>5</sup> (if known)	MM-DD-YYYY	Applicant of Cited Document	Translation <sup>6</sup>	
		JP	06-145977	A	05-27-1994		Yes	
		JP	2614948	B2	02-27-1997		Abstract	
		JР	2670391	B2	07-04-1997		Abstract	
		JP	2721595	B2	11-21-1997		Abstract	
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NON PATENT LITERATURE DOCUMENTS								
Examiner Initials*	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city, and/or country where published.						
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<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>&</sup>lt;sup>1</sup>Applicant's imique citation designation number (optional). <sup>2</sup>See Kind Codes of USPTO Patent Documents at www.uspto.gov, MPEP 901.04 or in the comment box of this document. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST. 3). <sup>4</sup>For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>3</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to indicate here if English language Translation is attached.